P. 1

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NAME:	FACSIMILE:	Telephone:
U.S. Patent and Trademark Office M/S Amendment	(571) 273-8300	

FROM:

Katherine D. Lee (Reg. No. 44,865)

DATE:

May 16, 2006

Number of pages with cover page:

11

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2451/jxh3

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#### Contents of this Transmission:

Atty Docket No. 479942000300:

Inventor: Desmond MASCARENHAS

Application No.: 09/899,348

Filing Date: July 5, 2001

Group Art Unit: 3623

Examiner: R. Jeanty

Title:

SYSTEM AND METHOD FOR USING PSYCHOLOGICAL SIGNIFICANCE PATTERN INFORMATION FOR MATCHING WITH TARGET INFORMATION

#### Documents:

Transmittal (1 page)

Request for Corrected Filing Receipt (2 pages)

Copy of Marked-Up Filing Receipt (3 pages)

Copy of Declaration (2 pages)

Supplemental Application Data Sheet (2 pages)

Facsimile Return Receipt Cover

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May 16, 2006

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NO. 582

P. 2

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ad to a collection of information untose it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respon Application Number 09/899,348 Filing Date TRANSMITTAL July 5, 2001 First Named Inventor **FORM Desmond MASCARENHAS** Art Unit 3623 (to be used for all correspondence after initial filing) Examiner Name R. Jeanty Attorney Docket Number Total Number of Pages In This Submission 10 479942000300 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): 1. Request for Corrected Filing Receipt Express Abandonment Request Request for Refund (2 pages) 2. Copy of Marked Up Filing Receipt Information Disclosure Statement CD, Number of CD(s) (3 pages) 3. Copy of Declaration (2 pages) Certified Copy of Priority 4. Supplemental Application Data Sheet Document(s) Landscape Table on CD (2 pages) 5. Facsimile Return Receipt Cover Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name MORRISON & FOERSTER LLP (Customer No. 20872) Signature Printed name Katherine D. Lee Date Reg. No. May 16, 2006 44,865

sf-2130102

Dated: May 18, 2006

(574) 273-8300, on the date shown below.

Signature:

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(Janet Hollreh)

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Dated: May 16, 2006

gnature: Clanet Holirah

Docket No.: 479942000300

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Desmond MASCARENHAS

Application No.: 09/899,348

Confirmation No.: 2173

Filed: July 5, 2001

Art Unit: 3623

For: SYSTEM AND METHOD FOR USING

PSYCHOLOGICAL SIGNIFICANCE

PATTERN INFORMATION FOR MATCHING

WITH TARGET INFORMATION

Examiner: R. Jeanty

# REQUEST FOR CORRECTED FILING RECEIPT

M/S Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the "Domestic Priority data as claimed by applicant" section. Everything after the first line should be deleted. Please revise to read as follows:

- --THIS APPLN CLAIMS BENEFIT OF 60/216,469 07/06/2000--
- "AND CLAIMS BENEFIT OF 60/220,308 07/24/2000"
- "AND CLAIMS BENEFIT OF 60/215,492 06/30/2000\*"
- "AND CLAIMS BENEFIT OF 60/252,868 11/21/2000"
- "(\*) Data inconsistent with PTO records."

Application No.: 09/899,348

2

Docket No.: 479942000300

A copy of the Declaration along with a Supplemental Application Data sheet is attached to this submission. Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction,

Dated: May 16, 2006

Respectfully submitted,

Katherine D. Lee

Registration No.: 44,865

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, California 94105-2482

(415) 268-6983

APPLICATION NUMBER

09/899,348

FILING DATE

07/05/2001



# United States Patent and Trademark Office

GRP ART UNIT

2161

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

www.usplo.gov FIL FEE REC'D ATTY DOCKET NO DRAWINGS TOT CLAIMS ND CLAIMS 479942000300

CONFIRMATION NO. 2173

13

FILING RECEIPT

OC000000006462891\*

Erwin J. Basinski Morrison & Foerster LLP 425 Market Street " San Francisco, CA 94105-2482

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

971

Applicant(s)

Desmond Mascarenhas, Los Altos Hills, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/216,469 07/06/2000

AND CLAIMS DENEFIT OF 69/215/492 06/20/2000 \*

\*<del>AND CLAIMS BENEFIT OF 60/252,868 11/21/200</del>0

-(\*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

RECIEVED

Early Publication Request: No

AUG 27 2001

\*\* SMALL ENTITY \*\*

Morrison & Foerster

Title

System and method for using psychological significance pattern information for matching with target information

Preliminary Class

705

Data entry by : MARMOL, MARIA

Team: OIPE

Date: 08/23/2001

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# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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#### NOT GRANTED

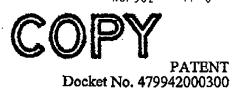
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# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the
  Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt
  along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



#### DECLARATION FOR UTILITY PATENT APPLICATION

#### AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR USING PSYCHOLOGICAL SIGNIFICANCE PATTERN INFORMATION FOR MATCHING WITH TARGET INFORMATION, the specification of which is attached hereto unless the following box is checked:

was filed on Herewith as United States Application Serial No. To Be Assigned.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Ample allow No.	Commercial	gantaga da allan 1904. Magazan Tandasa (1904)		
	·		□Yes	□No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

A to the allegation as the state of	
60/216,469	July 6, 2000

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Setal No.	Prince Date (	Status	a la	
			□Abandone	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<u>07-03-01</u>

Name: Desmond D. MASCARENHAS

Residence: 27223 Sherlock Road, Los Altos Hills, California 94022

Citizenship: United States

Post Office Address: Same as Above

2

### Supplemental Application Data Sheet

#### **Application Information**

Application number:: 09/899,348

Filing Date:: 07/05/01

Application Type:: Regular

Subject Matter: Utility

Suggested Group Art Unit:: 3623

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: SYSTEM AND METHOD FOR USING

No

PSYCHOLOGICAL SIGNIFICANCE

PATTERN INFORMATION FOR

MATCHING WITH TARGET

INFORMATION

Attorney Docket Number:: 479942000300

Request for Early Publication?:: No

Request for Non-Publication?:: No

Total Drawing Sheets: 6

Small Entity?:: Yes

•

Secrecy Order in Parent Appl.?:: No

## **Applicant Information**

Petition included?::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Desmond

Family Name:: MASCARENHAS

City of Residence:: Los Altos Hilss

State or Province of Residence:: CA

Page # 1

Supplemental 09899348 07/05/01 05/16/06

Country of Residence::

US

Street of mailing address::

27223 Sherlock Road

City of malling address::

Los Altos Hills

State or Province of mailing address::

CA

Postal or Zip Code of mailing address::

94022

Correspondence Information

Correspondence Customer Number::

20872

Representative Information

Representative Customer Number::

20872

#### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	An application claiming the benefit under 35 USC 119(e)	60/216,469	07/06/00

## Foreign Priority Information

## **Assignee Information**